

FEDSTATES EXCHANGE COMMISSION

washington, D.C. 20549

ANNUAL AUDITED REPORT **FORM X-17A-5** PART III

OMB APPROVAL

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wired of Brokers and Dealers Pursuant to Section 17 of the Securities Exchange Act of 1934 and Rule 17a-5 Thereunder

BEGINNING 10/01/14 AND ENDING 9/30/15 REPORT FOR THE PER MM/DD/YY MM/DD/YY A. REGISTRANT IDENTIFICATION NAME OF BROKER-DEALER: Sterne Agee Clearing, Inc. OFFICIAL USE ONLY ADDRESS OF PRINCIPAL PLACE OF BUSINESS: (Do not use P.O. Box No.) FIRM I.D. NO. 800 Shades Creek Parkway, Suite 700 (No. and Street) Birmingham AL35209 (City) (Zip Code) (State) NAME AND TELEPHONE NUMBER OF PERSON TO CONTACT IN REGARD TO THIS REPORT Fred Wagstaff (205) 380-1716 (Area Code - Telephone Number) **B. ACCOUNTANT IDENTIFICATION** INDEPENDENT PUBLIC ACCOUNTANT whose opinion is contained in this Report* Warren Averett, LLC (Name - if individual, state last, first, middle name) 2500 Acton Road Birmingham AL 35243 (Address) (City) (Zip Code) (State) **CHECK ONE:** ☑ Certified Public Accountant ☐ Public Accountant Accountant not resident in United States or any of its possessions. FOR OFFICIAL USE ONLY

*Claims for exemption from the requirement that the annual report be covered by the opinion of an independent public accountant must be supported by a statement of facts and circumstances relied on as the basis for the exemption. See Section 240.17a-5(e)(2)



OATH OR AFFIRMATION

financia correct.	al stat We	B. Joyner and C. Fred Wagstaff, III, swear (or affirm) that, to the best of our knowledge and belief the accompanying ement and supporting schedules pertaining to the firm of Sterne Agee Clearing, Inc., as of September 30, 2015, are true and further swear (or affirm) that neither the Company nor any partner, proprietor, principal officer, or director has any account classified solely as that of a customer, except as follows:
	_	
		DR_
		Signature
		CEO, Sterne Agee Clearing, Inc.
		Title
		_ COM / 200
		Signature
	1.	Treasurer and CFO, Sterne Agee Clearing, Inc. Title
	Ho	yanna Marelys font
		Notary Public Comm exp 9/8/18
This rep	ort 🎢	*contains (check all applicable boxes):
X	(a)	Facing page.
X	(b)	Statement of Financial Condition.
	(c)	Statement of Income (Loss).
	(d)	Statement of Changes in Financial Condition.
	(e)	Statement of Changes in Stockholders' Equity or Partners' or Sole Proprietors' Capital.
	(f)	Statement of Changes in Liabilities Subordinated to Claims of Creditors.
	(g)	Computation of Net Capital.
	(h)	Computation for Determination of Reserve Requirements Pursuant to Rule 15c3-3.
	(i)	Information Relating to the Possession or Control Requirements Under Rule 15c3-3.
	(j)	A Reconciliation, including appropriate explanation of the Computation of Net Capital Under Rule 15c3—1 and the Computation for Determination of the Reserve Requirements Under Exhibit A of Rule 15c3—3.
	(k)	A Reconciliation between the audited and unaudited Statements of Financial Condition with respect to methods of consolidation.
X	(l)	An Oath or Affirmation.
	(m)	A copy of the SIPC Supplemental Report.

**For conditions of confidential treatment of certain portions of this filing, see section 240.17a-5(e)(3)

previous audit.

(n) A report describing any material inadequacies found to exist or found to have existed since the date of the



STERNE AGEE CLEARING, INC.

(A Wholly Owned Subsidiary of Sterne Agee, LLC, a Stifel Financial Corp. Company)

Statement of Financial Condition

September 30, 2015

(With Report of Independent Registered Public Accounting Firm)





REPORT OF INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM

Board of Directors and Stockholders Sterne Agee Clearing, Inc.

We have audited the accompanying statement of financial condition of Sterne Agee Clearing, Inc. (a Delaware corporation) as of September 30, 2015, and the related notes to the financial statements. The financial statement is the responsibility of Sterne Agee Clearing, Inc.'s management. Our responsibility is to express an opinion on this statement of financial condition based on our audit.

We conducted our audit in accordance with the standards of the Public Company Accounting Oversight Board (United States). Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the statement of financial condition is free of material misstatement. An audit includes examining, on a test basis, evidence supporting the amounts and disclosures in the statement of financial position. An audit also includes assessing the accounting principles used and significant estimates made by management, as well as evaluating the overall statement of financial position presentation. We believe that our audit provides a reasonable basis for our opinion.

In our opinion, the statement of financial condition referred to above presents fairly, in all material respects, the financial position of Sterne Agee Clearing, Inc. as of September 30, 2015 in accordance with accounting principles generally accepted in the United States of America.

Birmingham, Alabama

Warren averet, LLC

November 23, 2015

STERNE AGEE CLEARING, INC.
(A Wholly Owned Subsidiary of Sterne Agee, LLC, a Stifel Financial Corp. Company)

Statement of Financial Condition

September 30, 2015

Assets

Cash and cash equivalents Due from Parent Due from affiliates First item and assistance (less accompleted degree interestion and amortization of	\$	413,019 1,727,205 102,584		
Furniture and equipment (less accumulated depreciation and amortization of \$278,383)		2,437		
Other assets	_	89,165		
Total assets	\$ _	2,334,410		
Liabilities and Stockholder's Equity				
Other liabilities	\$_	180,287		
Total liabilities	-	180,287		
Stockholder's equity: Common stock, \$0.01 par value. 60,000 shares authorized,				
2,500 shares issued and outstanding		25		
Additional paid-in capital		867,410		
Retained earnings	-	1,286,688		
Total stockholder's equity		2,154,123		
Total liabilities and stockholder's equity	\$_	2,334,410		

See accompanying notes to statement of financial condition.